

HAVERSTOCK & OWENS LLP 162 North Wolfe Road Sunnyvale, California 94086 (408) 530-9700

Customer Number 28960

Attorney Docket No.: SSI-08000

40.00 0.00 **\$1044.00**

MAIL STOP NEW PATENT APPLICATION Commissioner for Patents

Dated: November 21, 2003

P.O. Box 1450 Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Sir:

Transmitted herewith for filing is the patent application of Inventor: William Dale Jones et al.

Title:

PUMP DESIGN FOR CIRCULATING SUPERCRITICAL CARBON DIOXIDE

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, November 21, 2003, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL967843714US addressed to: PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Francis Guerra (Name of Person Mailing Paper) Signature Enclosed are: 1. The papers required for filing date under CFR § 1.53(b): Sheet(s) of Drawings. 12 Pages of Specification (including claims); 1 X **Formal** Informal 2. Declaration or Oath (combined with Power of Attorney) 3. 4. Power of Attorney (combined with Declaration) Assignment of the Invention to Supercritical Systems, Inc. (including Form PTO-1595). 5. Fee Calculation

Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee
				\$770.00
Total Claims	33 - 20 =	13	\$18.00	234.00
Independent Claims	2 - 3 =	0	\$86.00	0.00
Multiple l	\$290.00			
	\$1,004.00			

		<u> </u>	
	Other I	rees	
	X	Assignment Recordation Fee	
	_	Other	
		TOTAL FEES ENCLOSED	
6.	Paymer	yment of Fees	
	<u>X</u>	Check in the amount of \$1044.00 (the basic filing fee of \$1004.00 plus \$40.00 for the Assignment Recordation fee) enclosed.	
7.	<u>X</u>	Authorization to Charge Additional Fees	
		The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. <u>08-1275</u> . <u>An originally executed duplicate of this transmittal is enclosed for this purpose</u>	
8.	<u>X</u> _	Request for Non-Publication	
8.	<u>X</u>	Return Receipt Postcard	

Name: Jonathan O. Owens

Attorney Docket No.: SSI-08000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	 Group Art Unit: Examiner: REQUEST FOR NON PUBLICATION OF APPLICATION UNDER 35 U.S.C. §122(b) 162 North Wolfe Road 	
William Dale Jones et al.		
Serial No.:		
Filed: herewith		
For: PUMP DESIGN FOR CIRCULATING SUPERCRITICAL CARBON DIOXIDE	Sunnyvale, California 94086) (408) 530-9700)	

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 21, 2003

Jonathan O. Ower

Reg. No.: 37,902

Attorneys for Applicant